The Board of Trustees of The University of Alabama met in Sellers Auditorium of the Bryant Conference Center on The University of Alabama campus on June 18, 2010. Trustee Finis E. St. John IV chaired the meeting. Michael A. Bownes served as Secretary.

On roll call, the following Trustees were present:

The Honorable Angus R. Cooper II, Trustee from the First Congressional District

The Honorable Marietta M. Urquhart, Trustee from the First Congressional District

The Honorable Joseph C. Espy III, Trustee from the Second Congressional District

The Honorable W. Davis Malone III, Trustee from the Second Congressional District

The Honorable Vanessa Leonard, Trustee from the Third Congressional District

The Honorable James W. Wilson III, Trustee from the Third Congressional District

The Honorable Finis E. St. John IV, Trustee from the Fourth Congressional District

The Honorable William Britt Sexton, Trustee from the Fourth Congressional District

The Honorable Ronald W. Gray, Trustee from the Fifth Congressional District

The Honorable Joe H. Ritch, Trustee from the Fifth Congressional District
The Honorable Paul W. Bryant, Jr., Trustee from the Sixth Congressional District

The Honorable Karen P. Brooks, Trustee from the Seventh Congressional District

The Honorable John H. England, Jr., Trustee from the Seventh Congressional District

The Honorable Andria Scott Hurst, Trustee from the Seventh Congressional District

The following Trustees were unable to attend:

The Honorable Bob Riley, Governor

Dr. Joseph B. Morton, State Superintendent of Education

The Honorable John J. McMahon, Jr., Trustee from the Sixth Congressional District

The meeting also was attended by: Chancellor Malcolm Portera; UA President Robert E. Witt; UAB President Carol Z. Garrison; UAHuntsville President David B. Williams; staff members from the Office of the Chancellor, the three campuses, and the UAB Health System. Also in attendance were Student Representatives to the Board of Trustees James Fowler from UA and Hernandez Stroud from UAB. Faculty Representatives to the Board in attendance were Dr. Karen F. Steckol from UA and Mark E. Lockhart, M.D., from UAB.

Kellee Reinhart, Vice Chancellor for System Relations, introduced media representatives Hannah Mask from The Crimson White, Hannah Wolfson from The Birmingham News, Victor Luckerson from The Crimson White, Adam Jones
from The Tuscaloosa News, and Chase Higginbotham and Jerome Davis from WVUA.

Chairman St. John called for approval of the minutes of the April 9, 2010, meeting, which had been distributed to each member of the Board. On motion of Trustee Brooks, seconded by Trustee Ritch, the minutes were approved as reported.

Chairman St. John said a draft of the Executive Committee Report had been sent to all Trustees. After discussion, and on motion of Trustee Urquhart, seconded by Trustee Wilson, the Board ratified the actions of the Executive Committee.

(Insert Executive Committee Report)

On behalf of the Board, Chairman St. John thanked Chancellor Portera and Olivia for hosting the dinner last night at the Chancellor’s residence. They very much enjoyed the chance to be together with the Chancellor and asked him to express their appreciation to Olivia for her efforts.

Chairman St. John also thanked Ken Lamica and the Bama Dining staff for the excellent work they have done for the Trustee meetings.

Chairman St. John then recognized Chancellor Portera for a report from The University of Alabama System.

My remarks will be brief. We spend a considerable amount of time talking about budget cuts and declines in funding. Today I would like to speak about investment and the progress we make as a System.

Even with this year’s budget cuts, our System, including the affiliates, will exceed $4 billion in budgets.
We are pleased with the progress we have made during tough times. The Presidents, faculty, staff and student leadership on all three campuses are hard at work creating a bright future for this System. While we are pleased with the progress, we are continuing to raise the bar of achievement for this System.

Let me share a smattering of the indicators of progress being made in this System.

This past week, The University of Alabama led the nation with ten students named to the U.S.A. Today All U.S.A. College Academic Team. I think there were maybe 80 total students and ten were from The University of Alabama and one from UAB.

UA’s 2009 enrollment was at a record high of 28,807 students. The entering freshman class was the largest in UA’s history with 5,207 students, almost 1,200 of whom had a 4-point or higher grade point average coming out of high school. The UA freshman class ranked tenth among public universities in the nation in the number of National Merit Scholars.

The Law School, which has consistently moved up in national rankings, had over 2,300 applications for 180 slots in the entering class for the Fall 2010 and the average LSAT score was the highest in the history of the Law School.

At UAB, Fall enrollment was just under 17,000 and graduate enrollment set a record at approximately 5,200. The Princeton Review listed UAB third nationally for its diverse student population. UAB students won three Goldwater Scholarships, one Truman Scholarship, one Phi Kappa Phi Scholarship, and an NIH Oxford/Cambridge Scholarship, which was the first for UAB and one of just 20 awarded nationally.

External research funding at UAB came in just under $500 million for 2009, and UAB achieved record funding, placing it at the level of the top 20 in NIH funding.

In terms of NIH American Recovery Reinvestment Act Funding, UAB ranked 17th in the nation and received 90 percent of the total dollars that were awarded in the State of Alabama.
At The University of Alabama in Huntsville, enrollment hit a record high of just under 7,700 students, up 600 in three years. The freshman ACT score was at an all-time high, 26.2, a full point higher than the year before.

Research funds from external sources have grown from $65 million in 2008 to $82 million in 2010, well on the way to the goal of $100 million that President Williams set a few years ago.

New degrees in modeling and simulation, information assurance and security, organizational psychology, and aerospace engineering were initiated this past year.

In all, this System enrolled more than 53,000 students this past year, which was a record, and we graduated over 11,000 for the first time in the history of the System. You will remember that a year or so ago I told you that we had achieved the 10,000 mark. We are now at the 11,000 mark.

In closing, I want to share with you that last evening Dr. Charles Nash, UA System Vice Chancellor for Academic Affairs and Student Affairs, was one of ten individuals recognized as a Pillar of the West Alabama Community. This was a signal honor for him and for his family. We want to congratulate Charles for his achievements and for his commitment to his community.

This brief report is a strong indicator of the success being generated in this System and the momentum that is under way. I know the Trustees are as proud as I am of the progress. Thank you.

Chairman St. John thanked the Chancellor for his remarks and recognized President Witt to introduce his new Student Rep to the Board.

President Witt said he was pleased to introduce Mr. James Fowler, a senior from Madison, Alabama, who is pursuing a double major in Business and Political Science. Prior to his election as President of the SGA, he served as Vice President for External Affairs and pro tem of the Senate. He is a member of Delta Kappa
Epsilon Fraternity and has been inducted into numerous honorary societies, most recently the Jason’s Senior Honorary Society.

Chairman St. John welcomed James and said the Board appreciated his presence.

Chairman St. John said the Administrative Report has been distributed to all Trustees. After discussion, and on motion of Trustee England, seconded by Trustee Cooper, the Board accepted the Administrative Report. (Exhibit B)

Chairman St. John recognized Trustee John England for a report from the Finance Committee. Trustee England said the Finance Committee of the Board of Trustees met today, June 18, 2010, with other Trustees in attendance. The Committee reviewed and approved three action items. The resolution approving Tuition and Fee increases at UA, UAB and UAHuntsville for 2010-2011 was sent under separate cover. The other items are in the Board Book on pages 101-189.

After discussion, and on motion of Trustee England, seconded by Trustee Hurst, the Board adopted the following resolutions, separately and severally, with Trustee Ritch abstaining from the resolution accepting the gift of A. S. Williams III:

RESOLUTION

WHEREAS, A. S. Williams III of Birmingham, Alabama, desires to contribute through a Sale and Donation Agreement a collection of unique and rare historical documents, papers, books, and photographs relating to the study of American and Southern history, to The Board of Trustees of The University of Alabama to aid, assist, promote, educate, and expand the understanding and appreciation of students and the general public of the place and significance of Americana at The University of Alabama and the state and nation; and
WHEREAS, this generous gift from Mr. Williams has applications that, at a minimum, may be woven into the University’s curricula of classes in American and Alabama history, American studies, literature and linguistics; encourage research and study of Americana and related topics; and attract leading scholars and students to The University of Alabama as indicated by numerous letters of support for the acquisition of this collection; and

WHEREAS, The University of Alabama proposes to pay $3.5 million from University reserves for this collection preliminarily valued in the range of approximately $8 million to $10 million; and

WHEREAS, Dr. Robert Witt, President of The University of Alabama, desires that this gift be accepted and maintained by The University of Alabama for the purposes and upon the conditions stated in a Letter of Intent signed by Mr. Williams on April 22, 2010, and under the terms of a Sale and Donation Agreement attached hereto (Exhibit E); and

WHEREAS, to ensure the successful transfer, receipt, installation, exhibition, maintenance, operation, and utilization of the collection, The University of Alabama Libraries shall maintain and display the collection in a designated area of Gorgas Library with the collection named as designated by the parties and approved by the Board at a later date; and

WHEREAS, The University of Alabama Libraries shall take appropriate measures to store, preserve, and make available to scholars, faculty members, students, and the public the personal papers, related books, and other items donated by Mr. Williams; and

WHEREAS, The University of Alabama Libraries shall provide appropriate faculty and staff to assist with curatorial responsibilities; and

WHEREAS, Alexander Stephens “Steve” Williams III was born in Eufaula, Alabama, on September 24, 1936; and

WHEREAS, Mr. Williams attended The University of Alabama, where he was a member of the Phi Delta Theta fraternity before earning a bachelor of science degree in finance in 1958; and

WHEREAS, Mr. Williams also earned a bachelor of laws degree from the Birmingham School of Law in 1964; and

WHEREAS, having begun his banking career with First National Bank of Birmingham in 1959, Mr. Williams joined Protective Life Corporation in 1964, where he would remain for over 30 years; and
WHEREAS, Mr. Williams brought his long, successful career to a close in 2001, when he retired from Protective Life as executive vice president and treasurer; and

WHEREAS, a generous community supporter, Mr. Williams has been active with many local organizations, including the Alabama Symphony Association, the Vulcan District Boy Scouts of America, the Emmett O’Neil Library, the Birmingham Kiwanis Club, and the Birmingham Historical Society; and

WHEREAS, Mr. Williams has been married to his wife, Rosemary, for over 50 years, and together they have three children, Alexander IV, James, and Elizabeth; and

WHEREAS, multiple generations of the Williams family have attended The University of Alabama and been loyal alumni and supporters; and

WHEREAS, Mr. Williams amassed his collection of Americana over a period of 50 years, and this extraordinary collection shall enhance academic excellence and the mission of The University of Alabama in teaching, research, and outreach;

NOW, THEREFORE, BE IT RESOLVED by The Board of Trustees of The University of Alabama that it hereby accepts the generous gift by Mr. Williams and approves the terms of the Sale and Donation Agreement attached hereto.

BE IT FURTHER RESOLVED that Robert E. Witt, President, or those officers named in the most recent Board Resolution granting signature authority for The University of Alabama be, and are hereby, authorized and empowered for and on behalf of the Board to execute the Sale and Donation Agreement in substantially the form attached hereto, and to do any and all things necessary or desirable to make, execute, and deliver such documents and instruments as may be necessary or required and to do any and all things necessary or desirable to complete the gift on the terms set out above.

BE IT FURTHER RESOLVED that the Board of Trustees expresses gratitude to Mr. Williams for this generous gift.

BE IT FURTHER RESOLVED that this resolution be spread upon the permanent minutes of the Board and that copies be sent to A. S. Williams III and appropriate personnel of The University of Alabama.

Trustee England said the Committee also heard a presentation on the Annual Capital IT Plans for FY 2010-2011.
Chairman St. John said he appreciated the work of that Committee and would like to take a moment to discuss the action that was just taken. Tuition is one of the most difficult issues the Board discusses every year, and he wanted to be sure that the public, students and parents understand this is not something the Board takes lightly in any way. Every Trustee is presented with a significant amount of information about this and every Trustee meets personally with the Vice Chancellor of Financial Affairs to discuss this decision. It is not something that is taken for granted. They are well aware of the impact that tuition increases have on the families in this state.

It is the job of the Trustees to balance the cost and the impact of tuition increases with their duty to fulfill their responsibility to provide the highest standard of excellence on our campuses.

Chairman St. John said that, especially in tough economic times, the Chancellor’s report today is a remarkable statement of the success we have had on these three campuses. That is due to the leadership we have in the System Office and on the three campuses. The question Trustee Espy raised in the Finance Committee during the tuition discussion sums up our position. He asked if this tuition request is the lowest amount that the campuses can request and still maintain the excellence we demand and the momentum we have developed at these three campuses. We are satisfied that the answer is yes. We have been told that and we also have been shown that.

Chairman St. John said he wanted to make it clear that those efforts to tighten belts and save money where we can, while maintaining the high quality we have, is something every citizen should be proud of. He said we deeply regret the burden that this adds to
our students, but we believe we are keeping it at the minimum possible to maintain our goals.

Chairman St. John closed by thanking the Finance Committee for their work and Trustee England for his report. He then recognized Trustee Urquhart for a report from the Academic Affairs, Student Affairs, and Planning Committee. Trustee Urquhart said the Academic Affairs, Student Affairs, and Planning Committee met yesterday afternoon, Thursday, June 17, at 2:30 p.m., with other Trustees present.

The Committee considered 18 items for consideration for recommendation to the Board for action: four individual action items and 14 administrative action items. Two information items were also presented. The Committee recommends approval of the items identified on the Board Agenda as G.1. through G.5.n. She said if there are any questions regarding any of these items, Vice Chancellor Nash or the Provosts will respond to them.

After discussion, and on motion of Trustee Urquhart, seconded by Trustee Wilson, the Board adopted the following resolutions, separately and severally:

(Insert Resolutions)

Chairman St. John thanked Trustee Urquhart for her report and recognized Trustee Paul Bryant, Jr., for a report from the Physical Properties Committee. Trustee Bryant said the Physical Properties Committee met yesterday and considered 13 resolutions for action by the Board, which are included in the Board Book on pages 221-250. The Committee thoroughly reviewed and approved all 13 resolutions and now recommends the approval of these items identified on the Board Agenda as H.1.a.1. through H.4.a.1.
After discussion, and on motion of Trustee Bryant, seconded by Trustee Cooper, the Board adopted the following resolutions, separately and severally, with Trustee Leonard voting No on the resolution approving demolition of Wilson Hall, Byrd Hall, Parker-Adams Hall, and New Hall at UA:

(Insert Resolutions)

Trustee Bryant said the Committee was notified that the 2010-2011 Annual Consolidated Capital Projects and Facilities Reports have been provided by each campus and the Health System as required by Board Rule 415.

The Committee was also briefed on an agreement between UA and the Alabama Department of Mental Health for the construction of the new Bryce Hospital.

Chairman St. John thanked Trustee Bryant for his report and recognized Trustee Jim Wilson for a report from the UAB Health System Board Liaison Committee. Trustee Wilson said the UAB Health System Board Liaison Committee met yesterday afternoon and considered four resolutions for action by the Board which are included in the Board Book on pages 251-257. The Committee thoroughly reviewed and approved these resolutions and now recommends the approval of these items identified on the Board Agenda as I.1.–I.4.

After discussion, and on motion of Trustee Wilson, seconded by Trustee England, the Board adopted the following resolutions, separately and severally:

(Insert Resolutions)

Trustee Wilson said the Committee also received an update on the UABHS Infusion Therapy Program. This program will consolidate the furnishing and
management of infusion therapy services throughout the Health System, resulting in more
coordinated patient care and significant cost-savings to the Health System.

Chairman St. John noted that they approved the appointment of two Trustees to
the Baptist Heath System board in Montgomery, Trustees Espy and Wilson, and the
appointment of Trustee Hurst to the Medical West board in Jefferson County. This is
above and beyond their regular duties and we appreciate the work they do.

Chairman St. John thanked Trustee Wilson for his report and recognized Trustee
Joe Ritch, in the absence of Chairman McMahon, for a report from the Investment
Committee. Trustee Ritch said the Investment Committee met on Thursday,
May 13, 2010, at 10 a.m., in Birmingham. The Committee heard an in-depth review of
the System funds by Giovanna Ban and Tom Mitchell with Cambridge Associates. Tom
Gale presented a resolution recommending the hiring of Montag Caldwell, a large cap
growth manager for the Pooled, Prime and UAB Separately Managed funds. The
Committee approved the resolution and under Board policy, no action is necessary by the
full Board.

Chairman St. John thanked Trustee Ritch for his report and recognized Trustee
Leonard for a report from the Audit Committee. Trustee Leonard said the Audit
Committee met this morning with other Trustees present. The Committee heard a
presentation by Michael Lammons with PricewaterhouseCoopers on their proposed fees
for external audit services for the 2010 fiscal year.

The Committee also considered one resolution for consideration for
recommendation to the Board approving the appointment of PricewaterhouseCoopers
as External Auditors for 2009-2010. The Committee recommends approval of this item.

After discussion, and on motion of Trustee Leonard, seconded by Trustee Urquhart, the Board adopted the following resolution:

(Insert Resolution)

Chairman St. John thanked Trustee Leonard for her report and recognized Trustee Hurst for a report from the Honorary Degrees and Recognition Committee. Trustee Hurst said the Honorary Degrees and Recognition Committee met this morning with other Trustees present. The Committee considered one resolution for consideration for recommendation to the Board: Resolution acknowledging the generous support of the EyeSight Foundation of Alabama, expressing appreciation, and naming the Third Floor of Volker Hall at UAB as the EyeSight Foundation of Alabama Vision Science Research Laboratories. The Committee recommends approval of this item.

After discussion, and on motion of Trustee Hurst, seconded by Trustee Brooks, the Board adopted the following resolution:

(Insert Resolution)

Chairman St. John thanked Trustee Hurst for her report.

Chairman St. John said the Administrative Action Items are listed on the Agenda and are normally acted upon as a unit, separately and severally. He said anyone who wished to speak to any of these items should feel free to do so.

After discussion, and on motion of Trustee Ritch, seconded by Trustee Malone, the Board adopted the following resolutions, separately and severally:

(Insert Resolutions)
There being no further business to come before the Board, the meeting was adjourned subject to call of the Chair.

______________________________
Michael A. Bownes, Secretary
April 20, 2010

Via Hand Delivery

Mr. A. S. Williams III
3340 Faring Road
Birmingham, Alabama 35223-2002

Re: Letter of Intent

Dear Mr. Williams:

This letter will confirm your intent to donate the entire collection of what is now referred to as the Williams Athenaeum ("Collection"), as listed on Attachment C, to The Board of Trustees at The University of Alabama, Tuscaloosa, Alabama, (UA), for the benefit of University Libraries. UA recognizes that a few personal items such as memorabilia owned by family members, the Babe Ruth autographed baseball, and other items that are mutually agreed upon may be excluded. The value of the collection donated is anticipated to be in the range of $12 - $14 million (auction value).

The following terms have been agreed upon:

1. The gift will be accepted and managed by UA substantially according to the proposal prepared by Dean Lou Pitschmann dated March 9, 2009, as amended April 1, 2010, included herewith as Attachment A. Any additional amendments to this proposal will be mutually agreed upon.
2. The gift will be accepted through a Bargain Sale agreement, substantially in the form of Attachment B.
3. The entire collection will be housed at UA.
4. It is understood that a complete appraisal of the collection must be conducted. UA will pay for the expense of this appraisal above the stated sum of $3.5 million in the Sale and Donation Agreement and will credit the costs as part of the Bargain Sale compensation to Mr. Williams.
5. Upon acceptance, UA will agree to maintain the collection as a separately maintained collection with the collection and its location named “The A.S. Williams III Athenaeum” or other agreed upon name.

On behalf of the faculty, administration, students and their families, thank you for this extraordinary institution-changing gift. We are sincerely grateful.

Sincerely,

Phillip B. Adcock
Assistant Vice President

Signed:

Mr. A. S. Williams, III

Date 4/22/10
Mr. A. S. Williams, III  
3340 Faring Road  
Birmingham, AL 35223-2002

Re: Addenda to Proposal Dated March 9, 2009

Dear Mr. Williams:

This letter is to confirm our discussions regarding the proposed location of the Williams Athenaeum Collection if it is acquired by the University Libraries.

In my original proposal to you, dated March 9, 2009, I stated that the Hoole Special Collections Library would be an appropriate location for the Collection. Based on our discussions following your two site-visits, I now believe that the first-floor west wing of Gorgas Library or the third-floor west wing of Gorgas Library would be a far more fitting location for the Collection. Both locations can be equipped with state-of-the-art security systems, and both locations would encourage greater use by students and faculty. These locations would also afford the Collection greater visibility among the general public and significantly larger exhibit space than Hoole Library can provide. Equally important, the Gorgas Library locations can provide all other benefits of Hoole Library that I outlined in my March 9, 2009 proposal (e.g., restricted access, non-circulating collections, and highly trained and experienced staff).

In our discussions in May and June 2009, I offered to name the Gorgas Library lecture hall, room 205, in your honor if the Collection were to come to the University Libraries. I wish to amend that offer and now propose that the named space will be the location of the Collection (i.e., either of the two proposed locations in Gorgas Library).

Further, the University Libraries will provide you with unrestricted on-site access to the Collection during regular business hours and by appointment. The Libraries will also ensure that you have appropriate space to meet with visitors and to use the Collection.
Again, I wish to express my sincere thanks for your interest in the University of Alabama Libraries as the permanent home of your collection now housed in the Eufaula Athenaeum.

Sincerely,

Louis A. Pitschmann, Ph.D.
Dean of Libraries

c: Judy L. Bonner, Ph.D., Executive Vice President and Provost
   Pamela H. Parker, Ph.D., Vice President for University Advancement
   Phillip B. Adcock, Assistant Vice President for Development
March 9, 2009

Mr. A. S. Williams, III
3340 Faring Road
Birmingham, AL 35223-2002

Re: Proposal for the Ongoing Maintenance, Care, and Promotion of Your Collections

Dear Mr. Williams:

As we agreed in our meeting on February 19, I am submitting the following proposal for your consideration. You will find a description of how the extraordinary collections you have assembled would be curated and promoted if you choose to place them in the University Libraries. I am also including a description of the opportunities your extensive collections would afford the Libraries to advance teaching, learning, and research at the University and beyond. What I propose is intended to continue and advance our discussions. All points are negotiable should they not reflect your preferences or expectations for long-term care and use of your collections.

Academic and Research Context for Your Collections

While some duplication of content between your collections and ours is inevitable, it is the richness that will result from bringing our collections together that should be born in mind when considering this proposal. Whereas the University of Alabama offers a well-developed context for your collections, they, in turn, would afford the University a unique opportunity to enhance the teaching and research value of our existing collections. Our current holdings pertaining to the history of Alabama are arguably the largest such collections in an institutional setting. Although the University’s collections were destroyed in 1865, the vision of key University administrators and librarians, with help from collectors such as yourself, has allowed the Libraries to build excellent collections
of books, manuscripts, recorded sound, and photographs that document Southern history, culture, and life. As a result, little has been published in the past 50 years on the history of Alabama that has not directly or indirectly relied on our collections. Scholars have described our collections as “unparalleled, unrivaled...and exceptionally rich,” but I hasten to add that individuals making such claims were unaware of your collections.

The University Libraries’ commitment to building and maintaining important research collections pertaining to the history and culture of the South will remain a priority over time. The University’s various teaching and research programs require that our collections not only be maintained but also enlarged. The University is recognized nationally for its strong and diverse teaching and research programs in American history, especially the history of the South. Members of the faculty in the departments of history and American studies are nationally recognized for their research. The College of Education has received national recognition for its outreach program to enrich the instruction of American history in grades 4-12 in Tuscaloosa city and county schools. The University is also the home of the Frances S. Summersell Center for the Study of the South, the mission of which is to explore the history and culture of the South through research and public programs.

The addition of your collections to those currently maintained by the Libraries would enable the Libraries to support these and related programs for future generations. The addition of your collections would also support and advance a trend in higher education to move beyond text-centric instruction and respond to today’s visually motivated students. Indeed, the non-textual components of your collections would permit faculty members to infuse their instruction and research with a vast array of cartographic and image-driven resources. Further, the addition of your collections would advance the Libraries’ archives and special collections to a new level of excellence and enable the Libraries to become an internationally recognized research center for the study of the political, military, economic, cultural, and iconographic history of the South. Your collections pertaining to American presidents and to the financing of the American Revolution, on the other hand, would create a new area of excellence in our special collections, as these are not areas in which we have significant holdings.

**Naming Opportunities**

Your identity would remain permanently associated with your collections. The following possible names for your collections are intended merely to serve as examples and not as formal proposals:

- The A. S. Williams, III Collections on the American South
- The A. S. Williams, III Athenaeum Collections
- The Eufaula Athenaeum Collections on the History, Life, and Culture of the American South

The agreed upon name would be used in all publicity and publications. It would also appear in all cataloging records so that all your collections or sub-sets of the collections
would be retrievable through the Libraries’ online catalogue. For example, a search using the name of the collections (e.g., Williams Collections) and the subject “Confederate imprints” would retrieve records for all Confederate imprints in your collections, but not other Confederate imprints already owned by the Libraries. Similarly, a search using the name of the collections, a place of publication, and a specific year or range of years (e.g., Williams Collections and Selma and 1875-1899) would retrieve all records in the Williams Collections meeting those criteria, but not other items published in Selma during those years. Such search features would facilitate use of your collections while ensuring their discrete identity within the larger context of the Libraries’ special collections.

Administration and Scholarly Oversight

Should you choose to donate your collections to the Libraries, a curator would be appointed to manage them. This person would be required to have formal training and extensive experience in curating and managing special collections and archives. Ideally, the curator would hold an advanced degree in American history and/or have substantial experience in the antiquarian book trade. Reporting directly to the Dean of Libraries, the curator would be responsible for overseeing the general care, maintenance, and public relations aspects relating to your collections and would be responsible for setting staff priorities and for assessing the quality of their work.

In addition, an advisory committee composed of scholars and knowledgeable archivists and librarians would be appointed to assist in recommending priorities for your collections (e.g., processing and preservation as well as training, research, and outreach programs). The committee would be appointed by and report to the Dean of the Libraries. In addition to the curator, rotating appointees to the committee would include appropriate University faculty members. One or more graduate students studying an aspect of Southern history or culture at the University and two or more nationally recognized scholars from elsewhere in Alabama or the Southeast would serve in ex officio capacities. The advantage of such an advisory committee would be that the Libraries would be positioned to respond effectively to users’ needs and interests and a high level of collection awareness among researchers would be ensured.

Increased Awareness and Access to the Collections

Upon receipt, processing your collections would become a major priority for the Libraries. Highly skilled existing staff would be assigned to this task, and outside funding would be sought to hire additional staff experienced in processing collections such as yours. Brochures, guides, and other finding aids would also be created. Funding would be sought from private donors and federally funded agencies, such as the National Endowment for the Humanities and the Institute for Museum and Library Services, as well as from private foundations with a history for funding projects to process library and archival collections, such as the Mellon Foundation or the Delmas Foundation.
Facilities and Staffing

Your collections would be housed in a non-circulating, restricted-access environment that would comply with nationally accepted best practices for security and specifications and standards for temperature and humidity levels required for long-term preservation. Although other options within the Libraries exist and can be considered, the most logical location for your collections at this time would be the William S. Hoole Special Collections Library, which reports directly to the Dean of Libraries.

The Hoole Library is a restricted-access facility for non-circulating collections. All materials are paged by staff and used in a supervised reading room, which is further monitored by security cameras. The stacks and storage area are locked and closed to the public at all times. Access to these areas is restricted solely to the Hoole Library staff, each of whom is assigned a unique security code in order to enter the stacks and storage area. Within this vault-like area are two higher security areas: one for photographic collections and one for the Libraries’ most important treasures. When the Hoole Library is closed a separate alarm system protects the entire facility. A new alarm system, which is about to be added to the two emergency exits, will provide a third level of security. These alarm systems are linked directly to the University of Alabama Police Department which provides 24/7 coverage.

The Hoole Library is staffed by two librarians and three professional archivists who hold graduate degrees in American history and who have a combined total of more than 50 years experience caring for and managing archival and rare book collections. In addition, the staff includes one photographic specialist, two archival records managers, one library/archives assistant, one clerical staff, and 3 FTE student assistants and interns. The librarians and archivists are known regionally and nationally for their knowledge and expertise. Together with the other members of the Hoole Library staff they are responsible for the processing and preservation of the materials under their care and for reference and outreach services to campus, the residents of Alabama, and all researchers wishing to use the University Archives and rare books and special collections housed in this facility.

Outreach Programs and Services

Upon receipt of your collections the Libraries would immediately prepare a major exhibition of your collections. A printed catalogue of the items on exhibit and descriptions of related materials in your collections would be prepared. The catalogue would not only introduce the collection to the University and the scholarly community beyond campus but would also serve as a guide to your collections until they are fully processed.

The Dean of Libraries would work with other deans, department heads, and center directors on campus to sponsor a nationally publicized symposium on topics reflected in your collections. In addition, the Libraries would initiate and promote an ongoing lecture
series at least once each year to celebrate, publicize, and encourage use of your collections.

The Libraries would also place portions of your collections on regular and extended exhibit on a rotating basis in campus libraries and other appropriate and secure University facilities. It would be our goal to develop opportunities for the permanent exhibit of those items in your collections that would prove to be of interest to the general public and to grades K-12 to promote a richer understanding of American history in general and of specific historical figures or events when their anniversaries are being celebrated.

The Libraries would create a Website specifically designed to promote national and international awareness of your collections. Institutions that have developed similar web-based access to their collections have found that school children, the general public, and serious scholars have made significant online use of the collections. They have also found that on-site use of their collections has increased as a direct result of the Web presence of mere snippets of their collections.

Further, the Libraries would explore the possibility of creating a traveling exhibit of your collections using facsimiles and other surrogates. Targeted audiences would be public libraries and K-12 students and their teachers.

Opportunities Your Collections Would Afford the University of Alabama--Internships and Training Programs

It is generally agreed that special collections will define research libraries throughout the 21st century. Library deans across the country are already at work expanding their special collections and enhancing access to them. The coming years will require a larger number of archivists and librarians who have earned graduate degrees in fields such as information science, English, art, history, and modern and classical languages and whose graduate curricula also afforded them formal training in archives and special collections management.

In addition to our current internship programs in Hoole Library, the Dean of Libraries would work with department heads and others on campus responsible for establishing degree requirements to explore the possibility of using the resources found in your collections to enable graduate students to pursue a minor field of study in the management of archives and special collections. Similar programs exist at a few other universities and have proven successful. A prospective donor is currently considering endowing an internship program in the University Libraries to facilitate establishing formal curatorial studies within academic programs.

In conclusion, thank you again for considering the University Libraries as a permanent home for your collections. I am honored that you are willing to consider my proposal for their future care and handling. I hope that I have described in a small way the major role your collections would play in making the Libraries' collections an even more compelling destination for the study of the history and culture of the South. Your
collecting instincts have created a library that no institution could hope to replicate in terms of its depth, breadth, and scholarly potential. It would be a serious loss if it were dispersed. For these reasons it would be difficult to name a more significant or lasting contribution to your alma mater. The University and the State of Alabama would be deeply enriched if you chose to place your collections at the Capstone. I look forward to discussing this proposal with you when you are ready.

Sincerely,

Louis A. Pitschmann, Ph.D.
Dean, University Libraries

c: Judy L. Bonner, Executive Vice President and Provost
   Phillip B. Adcock, Assistant Vice President
ATTACHMENT B

STATE OF ALABAMA   )
JEFFERSON COUNTY  )

SALE AND DONATION AGREEMENT

For and in consideration of the sum of Three Million Five Hundred Thousand Dollars ($3,500,000.00), payable in full upon transfer of possession, and his esteem and regard for The University of Alabama, A.S. Williams, III, a married person, of 3340 Faring Road, Birmingham, AL 35223 ("Owner"), hereby irrevocably sells, transfers gives, donates, and assigns, by way of partial sale and partial gift, to The Board of Trustees of The University of Alabama, a public corporation and public institution of higher education, c/o Box 870268, Tuscaloosa, AL 35487-0268 (EIN 63-6001138) ("University"), its successors and assigns, all of his right, title and interest in, arising from and to the book and historical document collection (the "Collection") which are more specifically described in what is marked as Attachment C.

Owner's Intent. In donating the value of the Collection in excess of the consideration paid hereunder by the University, the Owner intends to obtain the full benefit of any income, gift, and estate tax charitable contribution deductions to which the Owner or the Owner's estate may be entitled under the Internal Revenue Code; accordingly this Agreement shall be interpreted consistently with the Grantor’s intent.

Payment. The consideration for the partial sale of the Collection shall be $3,500,000.00. Upon payment of that amount, title to the Collection described in the Attachment A shall vest irrevocably in the University. Should Owner die prior to the transfer of possession of the items on Attachment C and payment being made by the University, this Agreement shall be binding on the heirs and assigns of Owner and the payment shall be made to the estate of the Owner.

Affirmation of Title. Owner affirms that (a) he is the sole and exclusive owner of each of the objects comprising the Collection that are described in Attachment C to this Agreement; (b) he has good and complete right, title, and interest in and to the Collection; (c) he has taken all requisite action to sell, transfer, give, donate, and assign all his right, title and interest in and to the Collection to the University; (d) that each of the donated objects comprising the Collection and the Collection itself is free and clear of all liens, encumbrances and restrictions; and (e) to the best of his knowledge none of the objects comprising the Collection has been imported or exported into or from any country contrary to its laws.
Gift Restrictions. The Donor acknowledges that this sale and gift to the University shall be administered in accordance with the University's policies and procedures as the same may from time to time be adopted. The University acknowledges that the gift of the Collection to the University is subject to the terms, conditions, and restrictions described in the proposal of the University regarding the Collection described in what is marked as Attachment A and includes two letters, one dated March 9, 2009, from Dean Lou Rischecksman and one dated April 6, 2010, from Phillip B. Adcock, both of which are incorporated herein by reference.

In addition, Donor agrees to transfer to the University all documentation, and share with the University all information, which he has, if any, with respect to a) his ownership, display and restoration of any of the objects comprising the Collection and b) all ownership, display and restoration of any of the objects comprising the Collection prior to his ownership, if any.

This Sale and Donation Agreement shall be governed by the laws of the State of Alabama, without regard to its conflict of law principles.

IN WITNESS WHEREOF, A.S. Williams, III, intending to be legally hereby, has executed this Sale and Donation Agreement the ___ day of ____________, 2010.

__________________________  
A.S. Williams, III

STATE OF ALABAMA  )
JEFFERSON COUNTY  )

I, the undersigned, a Notary Public in and for said county in said state, hereby certify that A.S. Williams, III, whose name as is signed to the foregoing Sale and Donation Agreement, and who is known to me, acknowledged before me on this day that, being informed of the contents of the foregoing Sale and Donation Agreement, he executed the same voluntarily on the day the same bears date.

Given under my hand and official seal this the ___ day of _______________, 2010.

__________________________
Notary Public

My Commission Expires: ____________
ATTACHMENTS
To
Sale and Donation Agreement