1.03 MISSION STATEMENT FOR ATHLETICS COMPLIANCE OFFICE
The mission of the UA athletics compliance office is to function within the realm of the University's compliance structure, coordinating, monitoring and verifying compliance with all NCAA and Conference requirements, and to educate athletics department staff members, student-athletes, the various constituencies of the University and the community regarding NCAA regulations. The athletics compliance office is committed and compelled to the principle of institutional control in the operation of the athletics department in a way that is constant within the rules and regulations of the NCAA, the Southeastern Conference and the University.

1.04 VISION STATEMENT FOR ATHLETICS COMPLIANCE OFFICE
The vision of the Athletics Compliance Office is to be recognized as one of the most comprehensive and proactive compliance programs in the areas of institutional control, education, and rules violation prevention. The Athletics Compliance Office shall be dedicated to maintaining the highest standards of excellence and professionalism by working together with all athletics staff members, student-athletes, university personnel, alumni, boosters, and the external community in an environment that promotes respect, communication and teamwork; by encouraging professional growth in the area of rules compliance; by incorporating in others the highest regard for ethical conduct; by fostering an environment that is supportive of the NCAA operating principle of competitive equity; by seeking out diversity and gender equity; and by fostering individual empowerment and personal well-being of our student-athletes.

1.05 STATEMENT OF COMPLIANCE
Coaches and staff at The University of Alabama agree to abide by and comply with the constitution, bylaws, regulations and interpretations of the NCAA (as well as the basic ethical spirit of such rules) relating to the conduct and administration of each athletic program (including, but not limited to, the recruiting rules). If a coach becomes aware or has reasonable grounds to believe that violations of such provisions may have taken place, he/she shall promptly report the circumstances to the Director of Athletics, as well as the athletics compliance office, and shall cooperate in any investigation. Each employee is expected to respect and follow the academic standards and requirements of the University in regard to the recruiting and eligibility of prospective and current student-athletes for each athletic program, and shall observe and cause all members of his/her staff to observe all University policies, rules or requirements pertaining to the conduct of intercollegiate athletics, or otherwise.
1.06 PURPOSE OF THE ATHLETICS COMPLIANCE OFFICE

The athletic compliance office’s purpose is to promote knowledge of and adherence to NCAA and SEC rules and regulations among members of the athletic department, University and members of the athletic community, and to monitor such rules and regulations, thereby reducing infractions that may otherwise occur. The University of Alabama athletics compliance office is designed to insure that the intercollegiate athletics program is operated within the rules and regulations of the University, NCAA and SEC. Compliance with these rules and regulations is the responsibility of everyone associated with the University of Alabama. In order for institutional control to be maintained, the NCAA Committee on Infractions developed principles with the idea of assisting institutions to better understand what efforts and attitudes are needed in order to create an atmosphere of compliance and institutional control. It is of the utmost importance that policies and procedures be established and adhered to so as to deter violations, and not merely to discover the existence of a violation after it has taken place. The University of Alabama athletics compliance program will interface with student-athletes, coaches, athletic staff members, university personnel, as well as alumni and friends of the University.

1.07 SEC PURPOSE AND MISSION STATEMENT

To further its educational purpose and mission, the Conference shall direct and organize interscholastic athletic competitions, conduct tournaments, and prescribe eligibility rules for contestants. The Conference shall also facilitate and assist its member institutions in maintaining intercollegiate athletic programs compatible with the highest standards of education and competitive sports. Through the orderly enactment and enforcement of legislation, the Conference aims:

- To encourage sound academic practices for student-athletes;
- To foster strong competition among the teams of its member institutions in a broad spectrum of amateur sports and championships;
- To assure proper emphasis on the funding of athletic activities;
- To stimulate good sportsmanship;
- To provide leadership and a voice in the development of public attitudes toward intercollegiate sports generally; and
- To address the future needs of athletics in a spirit of cooperation and mutual benefit of the member institutions and their student-athletes. [Approved 6/15/06]

1.08 INTERCOLLEGIATE ATHLETICS COMMITTEE

The Intercollegiate Athletic Committee assists the President in the formulation of policy for intercollegiate athletics. In carrying out its duties, the committee provides oversight for all aspects of the intercollegiate athletics program. Oversight includes but is not limited to: the athletic department's mission statement; periodic review of student-athlete handbook, compliance manual(s); compliance with NCAA and Southeastern Conference rules and regulations; academic services, admissions profiles and graduation rates; financial affairs; gender equity and equal opportunity policies and practices; coach/student-athlete relationships and discipline; competitive event scheduling; student-athlete exit interviews; and general reputation of the athletics program.
This committee consists of fifteen (15) members: a total of five (5) faculty, including the Faculty Senate representative and the Faculty Athletics Representative (who serves as chair); two (2) student-athlete representatives (one male and one female), and eight (8) ex-officio members: Director of Alumni Affairs, a Member of the Board of Trustees, President of the Faculty Senate, President of the Student Government Association, Vice President for Student Affairs, Associate Provost for Academic Affairs, member of the Tuscaloosa community, and Vice President of Financial Affairs. The three (3) non-designated faculty positions will be nominated by the Committee on Committees and serve three-year terms.

1.09 UNIVERSITY ATHLETICS COMPLIANCE COMMITTEE

The University's Athletic Compliance Committee consists of individuals who are key players in the shared responsibility of compliance and student-athlete eligibility certification and meet on a monthly basis. The Committee members include the University Registrar (chair), Associate Provost for Academic Affairs, Executive Director of Undergraduate Admissions, Director of Student Financial Aid, Faculty Athletic Representative, Director of Athletics, Associate Athletic Director for Compliance, and the Associate Athletic Director for Student Services. The Committee's charge is as follows:

- To review annually all matters related to compliance with NCAA and Southeastern Conference rules and procedures;
- To review annually specific incidents in which NCAA and Southeastern Conference policies and procedures may have been violated;
- To recommend appropriate remedial or preventive actions to ensure compliance with NCAA and SEC policies;
- To make an annual report to the President and the Intercollegiate Athletics Committee; and
- To fulfill other duties and responsibilities as may be assigned by the President.

The University Athletic Compliance Committee ensures that commitment to rules compliance is a central element in all personnel matters (wherever university personnel are involved in compliance-related activities). The University of Alabama and its Department of Intercollegiate Athletics are committed to operating within the framework and intent of all NCAA and SEC legislation. It is the responsibility of each University Athletic Compliance Committee member and appropriate designees outside of the athletics department to have complete knowledge of NCAA, SEC, and University regulations that relate to their duties. Each and every staff member with compliance-related responsibilities must accept ultimate responsibility for ensuring their conduct is in compliance with all such regulations. It is the purpose of the University Athletic Compliance Committee to facilitate and monitor achievement of this goal. All staff members outside of the athletics department with compliance-related responsibilities are responsible for comprehending and abiding by the University of Alabama compliance policies and procedures.

University Athletic Compliance Committee members and their appropriate designees must show a clear and unambiguous commitment to rules compliance as evidenced in job descriptions and an annual compliance performance evaluation and self-audit of their designated area.