The University of Alabama Compliance Policies and Procedures Manual is for the use and benefit of university and athletic department staff. The Manual contains information and National Collegiate Athletic Association (NCAA) and Southeastern Conference (SEC) rules designed to assist the efforts of the athletics department to prepare, operate, monitor and evaluate athletics compliance programs and procedures. The Manual has been prepared to reflect specific NCAA divisional legislation to assist athletics department and coaching staff members. For your convenience, some references and citations to NCAA legislation are listed in the Manual to aid the reader. For the most up to date

The Compliance Policies and Procedures Manual was developed based on information derived from the decisions of the NCAA Division I Committees on Infractions, NCAA Manual, SEC Manual and other NCAA and SEC reference materials. Athletics department staff and coaching staff members should use the recommended athletics compliance programs and procedures contained in the Manual in conjunction with the NCAA Manual, SEC Manual and other NCAA and SEC reference materials. The Manual is not intended to be your sole source of information. Please contact the athletics compliance office for additional athletic compliance guidance.
The University of Alabama's President has designated the Director of Athletics as the individual responsible for the institution's NCAA and Southeastern Conference rules compliance. The Director of Athletics has assigned the oversight of the compliance office to the Associate Athletic Director for Compliance.

The athletics compliance office staff monitors the recruitment, admission, eligibility, and financial aid for all student-athletes. The staff also conducts a comprehensive rules education program for coaches, staff, student-athletes, prospective student-athletes, and boosters and fans. Every full-time employee of the departmental of intercollegiate athletics signs the NCAA Certification of Compliance on an annual basis and receives the message that compliance is everyone's responsibility.

Compliance duties have been assigned to the following individual/offices, both within the department of intercollegiate athletics and throughout the University as a whole.

**Faculty Athletics Representative**

The Faculty Athletics Representative shall be named by the President in consultation with the Faculty Senate. The Faculty Athletics Representative shall be a member of the faculty and attend to all duties of that office as specified in manuals of the NCAA, Southeastern Conference, and the Faculty Athletics Representatives Association. The term of office shall be three years and may be renewed once with approval of the Faculty Senate Steering Committee. Additional years of service may be added if service on national committees result in a significant benefit to the University. To facilitate transitions, a Faculty Athletics Representative designate shall be named in the last year of an incumbent's term.

The Faculty Athletics Representative will work closely with the President, the faculty, students, the Director of Athletics, the Associate Athletic Director for Compliance, and the other department of intercollegiate athletics personnel to support a campus environment in which the athletics program is a vital component of the educational program and in which student-athletes constitute an integral part of the student body. The Faculty Athletics Representative also represents the University and the faculty in the institution's relationship with the NCAA and the Southeastern Conference.

The major responsibilities of the Faculty Athletics Representative at The University of Alabama are in the areas of compliance and academic integrity, although success in those areas requires teamwork and cooperation among a number of individuals on campus. The Faculty Athletics Representative shall have the following responsibilities and others as shall be assigned by the President:

**Academic Support**

- To review proposed competition schedules in order to monitor student-athlete time demands.
- To work closely with the Director of Athletics, the Associate Athletic Director for Student Services, and the Center for Athletic Student Services to review and evaluate academic and general support services for student-athletes.
- To receive regular reports and to monitor the academic performance of individual student-athletes and teams, to report these results to the President and to work constructively and cooperatively with coaches and students in order to assist student-athletes in their academic pursuits.
- Working with the Associate Athletic Director for Student Services, faculty and coaches, to participate in and further the nomination process for all academic awards and scholarships available through Southeastern Conference, the NCAA and other organizations.
- To participate in exit interviews with student-athletes.
- To address faculty concerns regarding the practice and competition schedules for athletes and to maintain the communication between the faculty and the department of intercollegiate athletics.
• Sports marketing staff workshop
• Fund-raising staff workshop [i.e., Development Office]
• Multi-media rights holder workshop [i.e., Crimson Tide Sports Marketing]
• Strength and conditioning staff workshop
• Athletic training staff and student trainer workshop(s)
• Equipment room staff and team manager workshop(s)
• Registrars' office, undergraduate/international admissions, and student financial aid workshops

3.04 COMPLIANCE EDUCATION FOR UNIVERSITY PERSONNEL
• The University Athletic Compliance Committee, comprised of key individuals who are involved with compliance and the certification process, is charged with reviewing all matters related to compliance with NCAA and Southeastern Conference regulations. The committee schedules meetings on a monthly basis to discuss compliance issues that arise throughout the year.

3.05 COMPLIANCE EDUCATION FOR ENROLLED STUDENT-ATHLETES
• The Associate Athletic Director for Compliance and/or the Director of Compliance meets with each team at the start of the preseason practice to review relevant NCAA, Southeastern Conference, and departmental rules and regulations. Prior to engaging in any practice-related activities, student-athletes complete required eligibility paperwork. Student-athletes review and update relevant forms throughout the year as needed.
• Meetings are held with certain teams (e.g., those whose sport charges admission to events) to review complimentary admissions policies and procedures with student-athletes. Such meetings are held just prior to the sport's first contest of each year.
• Student-athletes also attend additional meetings throughout the year to address specific topics (i.e., sports agents, gambling issues, etc.)
• The Associate Athletic Director for Compliance meets regularly with the campus Student-Athlete Advisory Committee to review issues related to compliance.
• Each student-athlete receives a Student-Athlete Handbook, a publication that is produced and distributed annually. Relevant rules and interpretations are included in the handbook and in various student-athlete newsletters.
• Review NCAA legislation on representative of athletics interest with student-athletes at beginning and end of year team meetings.
• Provide reminders/brochures/articles on representative of athletics interest (booster) issues at various times of the year within student-athlete lockers.
• The Associate Athletic Director for Compliance established a Professional Sports Counseling Panel to begin the sport agent educational process for our coaches, student-athletes and parents. The President selected four (4) faculty and a member from the department of intercollegiate athletics, while the Associate Athletic Director for Compliance and the Director of Football Operations serve as liaisons to the panel. This faculty panel was established in accordance with the NCAA Constitution and will be composed of faculty in sports law, financial and career counseling. These individuals advise and counsel our student-athletes and the student-athletes parents, relatives, or legal guardians on a continuing basis in order to assist them with the proper selection of an agent.