Mission / Purpose
To develop the University of Alabama’s Intellectual Property into commercialization through a student-centered entrepreneurial program resulting in enhanced local economic development and competitiveness.

Goals
G 1: Goals for 2013
Develop 6 prototypes for this year’s projects.

Other Outcomes, with Any Associations and Related Measures, Targets, Findings, and Action Plans
OthOtcm 1: Perform R&D to increase the commercialization opportunities for UAIP
Perform R&D, utilizing student teams under the direction of AIME Research Scientists & Engineers, to increase the commercialization opportunities for UA IP.

Connected Document
Alabama Institute for Manufacturing Excellence Assessment Timetable

Related Measures
M 2: The number of collaborations created to commercialization UAIP
The number of collaborations created to commercialize UA IP.
Source of Evidence: Activity volume
Target: No Target Established.
Finding (2012-2013) - Target: Met
We collaborated with eleven different university inventors in performing research of their disclosed technologies for advancement toward commercialization.

M 3: The number of patents and invention disclosures
The number of patents and invention disclosures submitted by AIME staff and students.
Source of Evidence: Existing data
Target: No Target Established
Finding (2012-2013) - Target: Met
AIME staff had four patent applications published and submitted two invention disclosures.

M 4: Collaborate with inventors to perform R&D
Collaborate with inventors to perform R&D with the goal of creating prototypes on a minimum of 3 promising UA invention disclosures.
Source of Evidence: Activity volume
Target: Create a minimum of three prototypes.
Finding (2012-2013) - Target: Met
AIME staff and STEM student assistants have been dedicating time and resources on developing prototypes for six different invention disclosures.

M 5: Benchmark on the ratio project completions: people.
Benchmark with other University's PoC centers on the ratio project completions: people.
Source of Evidence: Benchmarking
Target: No Target Established
Finding (2012-2013) - Target: Met
We identified two successful and established PoC centers (von Liebig Center and Deshpande Center) whose experience we seek to continue the growth and enhancement of our practices and processes.

M 17: Prototypes Developed
Record the number of prototypes developed and tested against industrial competition.
Source of Evidence: Benchmarking of learning outcomes against peers
Target: No Target Established
Finding (2012-2013) - Target: Met
There have been three prototypes that have been tested against industry standards (catalysts, fibers, and drug delivery).

OthOtcm 2: Launch new businesses from the development of UA Intellectual Property.
Launch new businesses from the development of UA Intellectual Property.
Related Measures

M 6: Monitor the number of partnerships with venture capitalists and angel investors.
We will monitor the number of partnerships with venture capitalists and angel investors.
Source of Evidence: Activity volume

Target:
No Target Established

Finding (2012-2013) - Target: Met
We have established partnerships with three different venture capital companies - Marcum Capital, Relevance Capital and The White Oak Group.

M 7: Monitor the number of student team submitted funding proposals.
We will monitor the number of student team submitted funding proposals that are centered upon graduate student research/dissertation work.
Source of Evidence: Activity volume

Target:
No Target Established

Finding (2012-2013) - Target: Met
There were nine proposals submitted- 4 SBIRs, 3 Alabama Launchpad, 1 Alabama Innovation Fund, and 1 Siemens New Ventures Forum.

M 8: The number of proposals that receive funding.
The number of proposals that receive funding.
Source of Evidence: Activity volume

Target:
No Target Established

Finding (2012-2013) - Target: Met
One SBIR Phase 1 and Phase 1B has received funding. There remains one SBIR submission that is still under review.

OthOtcm 3: Foster an environment that attracts industrial sponsored research and partnerships.
Foster an environment that attracts industrial sponsored research and partnerships.

Related Measures

M 9: The number of strategic partnerships with industries and governmental agencies
The number of strategic partnerships with industries and governmental agencies that result in sponsored research on special projects designed to enhance the introduction of industry products into the local economy.
Source of Evidence: Activity volume

Target:
No Target Established

Finding (2012-2013) - Target: Met
There are six partnerships with governmental agencies through NSF SBIR, I-Corp, and PFI. Partnerships have also been developed with autoharvest.org, T1 Automotive and MegaWatt Ventures. Through industry and government agencies, there is a total of 9 partnerships.

M 10: The number of people attending conferences and meetings
The number of people attending conferences and meetings AIME co-sponsors with industries, investment and governmental agencies.
Source of Evidence: Activity volume

Target:
No Target Established

Finding (2012-2013) - Target: Met
AIME co-sponsored five events with a compiled attendance of 283 people. AIME Day (60 attendees), BioAlabama (110 attendees), MegaWatt (30 attendees), the CBA Elevator Pitch (40 attendees), and Auto Accelerator (40 attendees).

M 11: The number of AIME sponsored meetings with industry.
The number of AIME sponsored meetings with industry.
Source of Evidence: Activity volume

Target:
No Target Established

Finding (2012-2013) - Target: Met
AIME sponsored one meeting with industry - Root3 Technologies.

OthOtcm 4: Provide an environment for the creation of business plans and entrepreneurialism. Promote business plan involvement
Provide a unique learning environment that is appealing to both undergrad and graduate students in diverse degree programs through accredited course offerings in the creation of business plans and entrepreneurialism. Promote and support involvement in different levels of business plan competitions.
Related Measures

M 12: These can be assessed by the number of interested students.
These can be assessed by the number of interested students.
Source of Evidence: Administrative measure - other

Target:
No Target Established

Finding (2012-2013) - Target: Met
There were 14 students that were involved in business plan and entrepreneurial focused assignments and events this past year. This was comprised of STEM undergraduate students, MBA students, and STEM related graduate students.

M 13: Monitor the number of students that continue their education to focus on entrepreneurship.
Monitor the number of students that continue their education in a career path that focuses on entrepreneurship.
Source of Evidence: Administrative measure - other

Target:
No Target Established

Finding (2012-2013) - Target: Met
This past year we had four students that got involved, through partial ownership or in a leadership position, with the launch of the start-up companies that took place.

M 14: Proactively work with the different colleges
Proactively work with the different colleges i.e. Engineering, Business, Law to solicit collaboration and monitor the ratio of students per college that enroll in or participate in resulting classes or programs.

Source of Evidence: Administrative measure - other

Target:
No Target Established

Finding (2012-2013) - Target: Met
AIME partnered with the CBA STEM to MBA program to conduct a Startup Gauntlet course, 21 students enrolled. There are also 4 STEM to MBA students that are employed with AIME to work on prototype development projects. We partnered with CBA for the Culverhouse Concept Pitch Competition in which we received participation from students enrolled in College of Engineering, College of Arts and Sciences, Manderson MBA program and the Culverhouse STEM to MBA program.

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Connected Document
Alabama Institute for Manufacturing Excellence Assessment Timetable

Related Measures

M 1: Benchmark on the number of patents filed per disclosures.
Benchmark with other Technology Transfer Office on the number of patents filed per disclosures.

Source of Evidence: Benchmarking

Target:
No Target Established

Finding (2012-2013) - Target: Met
From the 11 disclosures in which AIME staff and students performed Triage research and reports, the Patent Council's decisions resulted in the filing of three provisional patents.

M 15: Measure the number of triage reports completed.
Measure the number of triage reports completed.

Source of Evidence: Activity volume

Target:
No Target Established

Finding (2012-2013) - Target: Met
AIME rolled the administration of the triage report process over to the Office of Technology Transfer in January of 2013. Prior to this our teams completed 12 triage reports.

M 16: Measure the timeliness of the triage
Measure the timeliness of the triage report to the inventor to allow for the inventor to incorporate information into their report to the Patent Council.

Source of Evidence: Activity volume

Target:
No Target Established

Finding (2012-2013) - Target: Met
All triage reports were completed within 4 weeks or less after team assignment.
Mission / Purpose

To develop the University of Alabama's Intellectual Property into commercialization through a student-centered entrepreneurial program resulting in enhanced local economic development and competitiveness.

Goals

G 1: Goals for 2013
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Measure the timeliness of the triage report to the inventor to allow for the inventor to incorporate information into their report to the Patent Council.
Source of Evidence: Activity volume

**Target:**
No Target Established.

**Finding (2012-2013) - Target: Met**
All triage reports were completed within 4 weeks or less after team assignment.
Detailed Assessment Report
2011-2012 AIME
As of: 7/18/2014 10:55 AM CENTRAL

Mission / Purpose
To develop the University of Alabama’s Intellectual Property into commercialization through a student-centered entrepreneurial program resulting in enhanced local economic development and competitiveness.

Student Learning Outcomes, with Any Associations and Related Measures, Targets, Findings, and Action Plans

SLO 5: Provide an environment for the creation of business plans and entrepreneurialism. Promote business plan involvement
Provide a unique learning environment that is appealing to both undergrad and graduate students in diverse degree programs through accredited course offerings in the creation of business plans and entrepreneurialism. Promote and support involvement in different levels of business plan competitions.

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Alabama Institute for Manufacturing Excellence Assessment Timetable

Related Measures

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Source of Evidence: Administrative measure - other

M 16: Proactively work with the different colleges
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Source of Evidence: Administrative measure - other

Other Outcomes, with Any Associations and Related Measures, Targets, Findings, and Action Plans

OthOtcm 1: Enhance the quality of our invention disclosures with business models and patent searches to better equip the UA Patent Council
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Source of Evidence: Activity volume

M 5: The number of patents and invention disclosures
The number of patents and invention disclosures submitted by AIME staff and students.
Source of Evidence: Existing data

**M 6: Collaborate with inventors to perform R&D**
Collaborate with inventors to perform R&D with the goal of creating prototypes on a minimum of 3 promising UA invention disclosures.
Source of Evidence: Activity volume

**M 7: Benchmark on the ratio project completions: people.**
Benchmark with other University’s Proof of Concept Centers on the ratio project completions: people.
Source of Evidence: Benchmarking

**OthOtcn 3: Launch new businesses from the development of UA Intellectual Property.**
Launch new businesses from the development of UA Intellectual Property.

**Related Measures**

**M 8: Monitor the number of partnerships with venture capitalists and angel investors.**
We will monitor the number of partnerships with venture capitalists and angel investors.
Source of Evidence: Activity volume

**M 9: Monitor the number of student team submitted funding proposals**
We will monitor the number of student team submitted funding proposals that are centered upon graduate student research/dissertation work.
Source of Evidence: Activity volume

**M 10: The number of proposals that receive funding.**
The number of proposals that receive funding.
Source of Evidence: Activity volume

**OthOtcn 4: Foster an environment that attracts industrial sponsored research and partnerships.**
Foster an environment that attracts industrial sponsored research and partnerships.

**Related Measures**

**M 11: The number of strategic partnerships with industries and governmental agencies**
The number of strategic partnerships with industries and governmental agencies that result in sponsored research on special projects designed to enhance the introduction of industry products into the local economy.
Source of Evidence: Activity volume

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The number of people attending conferences and meetings AIME co-sponsors with industries, investment and governmental agencies.
Source of Evidence: Activity volume

**M 13: The number of AIME sponsored meetings with industry.**
The number of AIME sponsored meetings with industry.
Source of Evidence: Activity volume
# Administrative Office
## 2011-12 Assessment Plan

**Division Name:** Office of Research  
**Administrative Office Name:** Alabama Institute for Manufacturing Excellence

## I. Department Mission Statement:

To develop the University of Alabama’s Intellectual Property into commercialization through a student-centered entrepreneurial program resulting in enhanced local economic development and competitiveness.

## II. Expected Outcomes

### Outcome 1. Enhance the quality of our invention disclosures with business models and patent searches to better equip the UA Patent Council in their business decisions on patenting cost. Provide research and subsequent documents to OTT to be utilized at the Patent Council meetings. The documentation should be complete and beneficial to the inventor for their presentation at the Patent Council meetings.

<table>
<thead>
<tr>
<th>Measure 1.1</th>
<th>Measure the number of triage reports completed.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Measure 1.2</td>
<td>Measure the timeliness of the triage report to the inventor to allow for the inventor to incorporate information into their report to the Patent Council.</td>
</tr>
<tr>
<td>Measure 1.3 (Optional)</td>
<td>Benchmark with other Technology Transfer Office on the number of patents filed per disclosures.</td>
</tr>
</tbody>
</table>

**How would you categorize Outcome 1?**  
- [X] Administrative Support/Operational Effectiveness outcome  
- [ ] Educational Support outcome  
- [ ] Research outcome  
- [ ] Community Service outcome

**This outcome best relates to which UA Strategic Plan Goal and Objective?**  
Strategic Plan Goal #  | Objective #  | 1.7 |

### Outcome 2. Perform R&D, utilizing student teams under the direction of AIME Research Scientists & Engineers, to increase the commercialization opportunities for UAIP.

<table>
<thead>
<tr>
<th>Measure 2.1</th>
<th>The number of collaborations created to commercialization UAIP.</th>
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<td>Measure 2.2</td>
<td>The number of patents and invention disclosures submitted by AIME staff and students.</td>
</tr>
<tr>
<td>Measure 2.3 (Optional)</td>
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</tr>
<tr>
<td>Measure 2.4</td>
<td>Benchmark with other University’s Proof of Concept Centers on the ratio project completions: people</td>
</tr>
</tbody>
</table>

**How would you categorize Outcome 2?**  
- [ ] Administrative Support/Operational Effectiveness outcome  
- [X] Educational Support outcome
Outcome 3. Launch new businesses from the development of UA Intellectual Property.

Measure 3.1 We will monitor the number of partnerships with venture capitalists and angel investors.

Measure 3.2 We will monitor the number of student team submitted funding proposals that are centered upon graduate student research/dissertation work.

Measure 3.3 (Optional) The number of proposals that receive funding.

How would you categorize Outcome 3?  
Research outcome

This outcome best relates to which UA Strategic Plan Goal and Objective?  
Strategic Plan Goal # 1  Objective # 1.9

Outcome 4. Foster an environment that attracts industrial sponsored research and partnerships.

Measure 4.1 The number of strategic partnerships with industries and governmental agencies that result in sponsored research on special projects designed to enhance the introduction of industry products into the local economy.

Measure 4.2 The number of people attending conferences and meetings AIME co-sponsors with industries, investment and governmental agencies.

Measure 4.3 (Optional) The number of AIME sponsored meetings with industry.

How would you categorize Outcome 4?  
Research outcome

This outcome best relates to which UA Strategic Plan Goal and Objective?  
Strategic Plan Goal # 1  Objective # 1.8

Outcome 5. Provide a unique learning environment that is appealing to both undergrad and graduate students in diverse degree programs through accredited course offerings in the creation of business plans and entrepreneurialism. Promote and support involvement in different levels of business plan competitions.
Measure 5.1 These can be assessed by the number of interested students.

Measure 5.2 Monitor the number of students that continue their education in a career path that focuses on entrepreneurship.

Measure 5.3 (Optional) Proactively work with the different colleges i.e. Engineering, Business, Law to solicit collaboration and monitor the ratio of students per college that enroll in or participate in resulting classes or programs.

How would you categorize Outcome 5?  
- [ ] Administrative Support/Operational Effectiveness outcome  
- [x] Educational Support outcome  
- [ ] Research outcome  
- [ ] Community Service outcome

This outcome best relates to which UA Strategic Plan Goal and Objective?  
Strategic Plan Goal # [ ] Objective # [ ]

Outcome 6. (Optional)

Measure 6.1
Measure 6.2
Measure 6.3 (Optional)

How would you categorize Outcome 6?  
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- [ ] Educational Support outcome  
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This outcome best relates to which UA Strategic Plan Goal and Objective?  
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III. Timetable: What Assessment Measures will be Administered When for Each Expected Outcome

<table>
<thead>
<tr>
<th></th>
<th>Outcome #1 Enhanced invention disclosures</th>
<th>Outcome #2 Research &amp; Development</th>
<th>Outcome #3 New businesses</th>
<th>Outcome #4 Industrial sponsored research</th>
<th>Outcome #5 Entrepreneurial activities</th>
<th>Outcome #6</th>
</tr>
</thead>
<tbody>
<tr>
<td>September</td>
<td>Triage Team Performance Assessment</td>
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<td>October</td>
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<tr>
<td>November</td>
<td></td>
<td>Proposals Submitted</td>
<td>Conference/Meeting Review</td>
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<tr>
<td>Month</td>
<td>Triage Team Performance Assessment</td>
<td>Funding Received</td>
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<td>Student Survey</td>
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<td>OTT/Inventor Feedback</td>
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<td>Investment Partnerships</td>
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<td>March</td>
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**Optional Additional Narrative** (Use this space to provide any additional detail concerning the 2011-12 Administrative Office Assessment Plan)